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# Review on Urban Heat Island in China: Methods, Its Impact on Buildings Energy Demand and Mitigation Strategies

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Abstract: High population density, dense high-rise buildings, and impervious pavements increase the vulnerability of cities, which aggravate the urban climate environment characterized by the urban heat island (UHI) effect. Cities in China provide unique information on the UHI phenomenon because they have experienced rapid urbanization and dramatic economic development, which have had a great influence on the climate in recent decades. This paper provides a review of recent research on the methods and impacts of UHI on building energy consumption, and the practical techniques that can be used to mitigate the adverse effects of UHI in China. The impact of UHI on building energy consumption depends largely on the local microclimate, the urban area features where the building is located, and the type and characteristics of the building. In the urban areas dominated by air conditioning, UHI could result in an approximately 10-16% increase in cooling energy consumption. Besides, the potential negative effects of UHI can be prevented from China in many ways, such as urban greening, cool material, water bodies, urban ventilation, etc. These strategies could have a substantial impact on the overall urban thermal environment if they can be used in the project design stage of urban planning and implemented on a large scale. Therefore, this study is useful to deepen the understanding of the physical mechanisms of UHI and provide practical approaches to fight the UHI for the urban planners, public health officials, and city decision-makers in China.

Keywords: urban heat island; building energy consumption; research method; mitigation strategies



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## 1. Introduction

As the main sites of human activities and interactions, cities are facing great changes in land use and land cover (LULC) due to population growth and economic development [1]. The natural land surfaces in cities, mainly vegetation and permeable areas, have been transformed into built-up and impervious areas [2]. According to the report released by the United Nations in 2014, the proportion of urban population around the world is growing rapidly, with 54% of the world's population moving to cities, and this fraction is expected to reach 66% by 2050 [3]. At the same time, urbanization has become a major concern of many countries worldwide because of its adverse impact on ecology and the environment; in particular, the urban heat island (UHI) effect is one of the significant environmental impacts of urbanization [4,5].

The UHI phenomena have had many adverse effects on the urban social ecosystem, including increasing building energy consumption, reducing thermal comfort, affecting the health of urban residents, and decreasing urban air quality [6–13]. Several studies have documented the specific effects of UHI on electricity demand and energy use for cooling. In Europe, data shows that when the temperature increases by 1 °C in summer, electricity consumption is increased by 1.66% in hot climatic countries and by 0.542% in mild climatic countries [14]. In the Mediterranean region, energy demands for cooling buildings increased by 12% in the peripheral neighborhood of the city and by 46% in the

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city centers due to the UHI effect [15]. Li et al. [16] found that UHI can increase the median cooling energy consumption by 19.0%. In addition, the most immediate effect of UHI on human health is through exposure to rising temperatures, while higher temperatures increase the risk of human death during heat waves [17–19]. In the UK, a study showed that extreme urban overheating is expected to be exacerbated due to UHI phenomenon [20]. Therefore, many mitigation strategies have been proposed to reduce the risk of UHI, such as urban green spaces (UGS), green roofs (GR), vertical greening (VG), natural water bodies, cool materials, and changing the urban geometry. [14,21–24].

The study of the UHI effect has drawn increasing attention to scholars all over the world. Many review articles related to the UHI phenomenon have been published in the last decade. Most of these studies have focused on the mitigation measures for the UHI, and the effect of UHI on building energy consumption and outdoor thermal comfort. The research region of these articles is usually for the whole world, and few UHI related articles focus on specific regions. China is an ideal case to investigate the UHI effect, given that it has experienced the most rapid urbanization in the past decades and this trend is expected to continue in the coming decades [25,26]. Therefore, more research is needed in China to better understand the UHI effect and to provide guidance for appropriate policies-making and planning to mitigate the UHI effect.

In order to review and analyze the available scientific information on UHI studies in China, a literature survey was conducted in this paper. Related UHI papers were retrieved in two comprehensive databases: Science Direct and Web of Science. The search was limited to the year 2010–2019. Several generic phrases, such as "urban heat island" and "heat island", were used to query titles, keywords, and abstracts in both databases. The selected papers were published in international peer-reviewed journals or proceedings of international conferences. Based on this, articles unrelated to the impact of UHI on building energy consumption and UHI mitigation strategies in China were filtered out. This filtering phase is based on the full text of the retrieved papers.

The structure of this review is as follows: the concept and formation of UHI are introduced in Section 2, followed by summarizing the different methods to study UHI in Section 3. Section 4 discusses the UHI status and its impact on building energy consumption in China. The strategies for mitigating the UHI effect in China, including UGS, GR, VG, urban morphology, cool material, water bodies, and urban ventilation are summarized in Section 5. Finally, we discuss the mitigation effects of the strategies and the effectiveness of these strategies in mitigating the UHI phenomenon. These findings will guide the planning and management of Chinese UHI areas and promote the conservation and sustainable use of natural sources in Chinese cities to increase urban environmental benefits and mitigate the impact of high temperatures in urban areas.

## 2. Generic Viewpoints on UHI

#### 2.1. Concepts of UHI, UHII and UCI

Urban regions absorb more solar radiation and have a great thermal capacity due to the massive buildings, pavements, and other surfaces [27,28]. They are likely to suffer from higher temperatures than the suburban and rural areas. The UHI phenomenon, which is closely related to climate change and urban development, generally describes the excess warmth of the urban areas in comparison to the neighboring suburban and rural areas [29–32]. The term of UHI was first established by Howard in 1820 when he discovered the temperature difference between night and day in London compared to the surroundings [33]. It was defined by Oke and Voogt in terms of various layers of the urban atmosphere, various surfaces, and even subsurface [34,35].

UHI is currently divided into boundary layer UHI (BLUHI), canopy layer UHI (CLUHI), and surface UHI (SUHI) due to different research scopes [36–38]. BLUHI is measured from building roof to the atmosphere, CLUHI is measured from the ground to building roof, and SUHI is measured from the ground [36,39,40]. BLUHI is generally used to investigate the UHI effect on mesoscale, collected by weather towers, aircraft, or

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satellites [38]. CLUHI is suitable for microscale studies, derived by stationary sites or mobile transverse methods [41,42]. SUHI can be obtained using satellite thermal images to invert land surface temperature (LST) [38]. At present, most studies focus on the UHI characteristics of urban surface and urban canopy. The temperature records of meteorological measurements can well indicate the interannual, seasonal, or diurnal variations of UHI, but uneven time series of temperature records often leads to uncertainty of the warming trend [43]. Using satellite images can rapidly evaluate vast geographic regions and can provide detailed information on the surface temperature changes of different land cover types [44–46]. However, it has pixel size and validation limitations, which are not ideal for evaluating microscale areas.

The magnitude of UHI can be expressed in terms of urban heat island intensity (UHII), which is the temperature difference between urban areas and rural ones [47]. It is an important indicator when evaluating the severity of the UHI effect or urbanization [48].

Urban cool island (UCI) phenomenon, known as the negative heat island, refers to the fact that the temperature of a certain area of the city is lower than the average temperature of the surrounding area [49,50]. It can be observed from the urban green spaces, urban wetlands (including reservoirs, lakes, and rivers), and the shade of high-rise buildings or the light-colored materials [51–54]. Compared to UHI, UCI is particularly prone to occur in the morning or noon periods because of the strong urban thermal turbulent exchange at the time, and the intensity of UCI is usually weaker than UHI [36,55].

#### 2.2. UHI Contributing Factors

The urban texture is different from that of nature, solar radiation and anthropogenic heat are the primary thermal sources within a city. The surface energy balance (SEB) is helpful for the understanding of the UHI phenomenon [56]:

$$Q^* + Q_F = Q_H + Q_E + \Delta Q_S + \Delta Q_A \left( W/m^2 \right)$$
 (1)

The fluxes  $Q^*$ ,  $Q_F$ ,  $Q_H$ ,  $Q_E$ ,  $\Delta Q_S$ , and  $\Delta Q_A$  in Equation (1) are net all-wave radiation, anthropogenic heat, sensible heat, latent heat, energy flux storage, and advection, respectively.  $Q_F$  is often ignored or proxy values are used due to the lack of appropriate data in cities. However, there is evidence that it could be significant especially in high-density cities depending on location and its energy use [57,58]. Many studies have reported that  $Q^*$  has the highest values during solar peak time, followed by  $Q_H$ ,  $Q_E$ , and  $\Delta Q_S$  [59,60].  $Q_H$  and  $Q_E$  are the largest parts of daytime SEB in urban and rural areas, respectively. Conversely,  $\Delta Q_S$  is the main heat output during night-time. In addition,  $\Delta Q_A$  caused by the complex terrain can be ignored in the case that flux densities and scalars are distributed in horizontal and homogenous patterns [61].

UHI is caused by the positive energy balance in urban areas, and the magnitude and characteristics of UHI are influenced and determined by numerous parameters. Previous research has shown that UHI changes were negatively related to humidity, precipitation, wind speed, and cloud cover [62–65]. UHI is more intense in the anticyclonic regime [66]. As urbanization is progressing, the natural and semi-natural surfaces are transformed into impermeable urban structures, which disrupt the balance of SEB and the composition of near-surface atmospheric structures [67]. This often results in a decrease in evaporating surfaces, low sky view factors (SVF), and increase of atmospheric pollution in the urban environment [68,69]. Therefore, the urban temperature will increase due to the absorption and storage of solar radiation on complicated urban surfaces, the reduction of SVF, and the decrease of thermal inertia and vegetation index [70–73]. The emission of infrared radiation from pollutants can increase the thermal balance of the urban environment [6]. In addition, urban anthropogenic activity is also an important factor to induce UHI [74,75].

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## 3. Methods of Studying UHI

Generally, a variety of approaches are employed to assess UHI such as meteorological observation, fixed measurements or mobile traverse methods, remote sensing, and numerical simulation [76–78]. In this section, a comprehensive review of research approaches for UHI over the past decade is presented.

## 3.1. Meteorological Observation

Meteorological observation methods refer to studying the variability of UHI (monthly, seasonal, inter-annual, and inter-decadal) using long-term meteorological data from both pre- and post-urbanization periods or urban and suburban (or rural) meteorological data in the same period [74,79]. UHI has an additive effect on long-term temperature trends [80]. To understand the impacts of UHI on different urban development and the temporal variability of UHII over long periods, it is demanded to assess UHI based on long-term monitoring data in urban areas [67,74]. Hong et al. [67] pointed out that long-term meteorological data is necessary as it appropriately describes the long-term trends of UHII.

Although a few studies focus on the meteorological data over long periods in China, recent studies have revealed that the observed climate change and surface warming in Eastern China (1961–2007) were induced by urbanization, with 44% of the warming in large cities due to the UHI effect [81]. Sun et al. [82] found that urban warming accounting for about a third of the observed warming between 1961 and 2013. Jiang et al. [83] found that the warming rate at urban meteorological stations was about three times greater than the average warming rate. Considering the special terrain conditions and the special local atmospheric circulation in the mountains or valley basins cities of China, the annual increase rate of UHII is +0.24 °C/decade in Lanzhou (1958–2015, valley city) and +0.10 °C/decade in Chongqing (1951–2010, mountain city) [84,85]. In addition, the relationships between the UHII and several factors of urban socioeconomic development, such as GDP level, industrial structures, and population size, were also analyzed by using meteorological data [85,86].

In general, the meteorological data can be used to study the UHI phenomenon in a city over a long period. It is noteworthy that the use of standard meteorological stations does not provide specific information on the microclimate [67,87]. The reference meteorological station to study the variability of UHII should be chosen carefully since many rural or suburban stations have experienced urbanization over time [74].

#### 3.2. Fixed Measurements or Mobile Traverse Methods

Fixed measurements or mobile traverse methods refer to the use of portable miniweather station or mobile traverses focus on the short-term data to assess UHI [74]. It provides the advantage of directly collecting the micro-climatic parameters (temperature, wind speed, solar radiation and relative humidity, etc.) [74,88]. The surveys are conducted on clear sunny days with the calm wind to avoid wind or rain affecting the measurement results. Fixed measurements are usually used to study the daily temperature variation, the influence of architectural elements on UHI reduction, and the thermal comfort in outdoor urban spaces [89–93]. However, when using fixed measurements, these data are limited in time and space. They are often combined with mobile survey or numerical simulation to provide the theoretical understanding and valuable information in urban thermal environment studies. Mobile traverse measurements, installing the instrumentations on a vehicle and running through the territory from the rural or suburb to the urban zone in order to measure data continuously, can give a full picture of the spatial distribution of temperature in detail and the local UHII along the mobile route [74,84,94]. However, it is difficult to get the spatial distribution of temperature in all urban areas due to the limited spatial coverage of the traverses and relatively few measurement parameters [26].

In China, in-situ measurements or mobile traverse methods are generally used to study microclimate regulation of the urban spaces on regional thermal environment, or the measured results are taken as the boundary conditions of simulation [95–97]. Many

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researchers have also used this measurement method to study dynamic variations in UHII [86,87,98]. Furthermore, the integration of the measurement methods and spatial interpolation methods based on GIS is applied to obtain the spatial distributions of local UHII [94].

## 3.3. Remote Sensing Methods

Satellite remote sensing technology enables UHI research on a global scale and is open access, which has the potential to improve understanding of the UHI phenomenon and its effects [99,100]. The remote sensing research of UHI is carried out by using satellitebased thermal images, the satellite data usually used are MODIS (1 km), ASTER (90 m), Landsat-5-TM (120 m), Landsat-7-ETM+ (60 m), and Landsat-8-OLI/TIRS (100 m) [70,101]. Among them, more researchers use the MODIS and Landsat TM/ETM+/OLI data to study the UHI given open access to data acquisition and the spatial coverage of the study area. Thermal infrared bands have become one of the important means to study the UHI because the thermal channels of remote sensing data in the spectral region 10.4–12.5 µm of EMR spectrum have proven their capability to identify and evaluate UHI, while the most widely available thermal infrared bands come from Landsat TM/ETM+/OLI [102]. Currently, the mono-window algorithm and single-channel algorithm are well-developed algorithms for the retrieval of land surface temperature (LST) from Landsat TM/ETM+/OLI data [103]. In addition, MODIS LST data with high temporal resolution (twice daily temporal resolution) and wide coverage have been used to study the spatial, diurnal, and seasonal variations of UHI around the world in recent years [102,104]. Using remote sensing images to study the impacts of LULC on LST has been reported in a lot of literature about UHI [1,66,105,106]. Other vegetation and LULC indicators, such as normalized difference vegetation index (NDVI), normalized difference building index (NDBI), and impervious surface area ratio (ISAR), are also commonly retrieved and used for UHI estimation [107]. In addition, in order to get rid of the limitation of urban/rural divisions, a new approach to quantify UHII using the linear relationship between MODIS LST and impervious surface areas (ISA) was proposed by Li et al. [108]. On the whole, satellite-based thermal data are effective means to describe the spatial pattern of the urban thermal environment due to the characteristics of good spatial coverage and open and easy access. However, there are some limitations of this method, such as low temporal resolution, and the fact that the satellite may be affected by the cloud when it images over a specific land, and that the vertical temperature distributions cannot be captured [93,106,109].

A variety of studies using the remote sensing method have evaluated UHI in China. Research works related to UHI based on remote sensing methods in China are shown in Table 1. The proportion of studies using remote sensing technology to study UHI from different research areas in China is shown in Figure 1. According to the reviewed studies using remote sensing methods, in China, the UHII variations at different time scales, the cooling effects of green spaces or water body, the relationship between LULC and LST, and the characteristics of the UHI spatial patterns are the most studied topics in the last decade. The relation between the driving forces of urban development and UHI, and the impact of urban morphology (including SVF, building density, and floor area ratio, etc.) on LST has been mentioned in some studies. In these studies, cities of eastern China that have experienced rapid development in recent decades, such as, Beijing (23%), Shanghai (11%), Nanjing (5%), Hangzhou (7%), Guangzhou (5%), and Shenzhen (4%) are the most studied areas (see Figure 1). Furthermore, the study area of UHI is not always limited to a single city, but also includes urban agglomeration (including the Yangtze River Delta Urban Agglomeration, Beijing-Tianjin-Hebei Metropolitan Region, the Pearl-River-Delta Metropolitan Region, etc.; 11%), and even the whole of China (18%) (see Figure 1).

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**Table 1.** Research related to urban heat island (UHI)-based on remote sensing methods in China.

Research Topics	Satellite Type	References			
UHII variations (diurnal, seasonal and interannual)	Landsat TM/ETM+; MODIS	Ye et al. [110]; Qiao et al. [70]; Zhou et al. [25]; Huang et al. [111]; Li et al. [108]; Sheng et al. [112]; Yao et al. [113]; Yao et al. [114]; Lai et al. [46]; Yue et al. [115]; Zhou et al. [116]; Hu et al. [64]; Liu et al. [117]; Sun et al. [24]			
UHI spatial patterns	Landsat TM/ETM+; MODIS	Zhang et al. [118]; Zhou [116]; Zhou et al. [26]; Meng et al. [119]; Lin et al. [120]; Liu et al. [117]; Peng et al. [86]; Yu et al. [121]			
Cooling effects of UCI	ASTER; IKONOS; Landsat-8-OLI/TIRS; QuickBird	Sun et al. [51]; Kong et al. [122]; Du et al. [123]; Sun and Chen [124]; Cai et al. [125]; Wang et al. [126]; Yu et al. [121]			
LULC and UHI	Landsat TM/ETM+; MODIS; HJ-1B; Landsat-8-TIRS; DMSP/OLS	Li et al. [127]; Zhang et al. [118]; Wu et al. [128]; Du et al. [129]; Wang [109]; Xu et al. [130]; Dai et al. [131]; Min et al. [78]; Wang et al. [126]; Yue et al. [115]; Yu et al. [132]			
Related factors of urban development and UHI	Landsat TM/ETM+; MODIS	Chen et al. [133]; Yao et al. [114]; Zhou et al. [116]			
Urban morphology and UHI	Landsat TM/ETM+/OLI; MODIS	Guo et al. [134]; Yue et al. [135]			
Regional thermal environments of urban agglomerations	MODIS	Du et al. [129]; Wang et al. [109]; Zhou et al. [136]; Li et al. [137]; Sun et al. [5]; Yu et al. [121]; Yu et al. [132]			
Building characteristics and anthropogenic heat release	MODIS	Cao et al. [75]			

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**Figure 1.** The proportion of studies using remote sensing methods to study UHI in different research areas (China), 56 references were included.

#### 3.4. Numerical Simulation Methods

Numerical simulation is a method for predicting different environmental parameters (e.g., temperature, humidity, and speed) in urban space [138]. The measurement data of air or surface temperature are often used as boundary conditions in numerical simulation calculations, the results of which are compared with the measured data for analysis and optimization [91]. The numerical weather prediction (NWP) models ENVI-met, the computational fluid dynamics (CFD), urban canopy models (UCMs), building energy models (BEM), and statistical models are commonly used simulation tools to study the UHI at different spatial scales [139].

The characteristics of different simulation tools to study UHI are shown in Table 2. Numerical approaches at mesoscale are termed as NWP modes, covering a range within horizontal distances from a few to several hundred kilometers [140]. NWP models can be operated in a horizontal resolution of less than 1 km if the computational capacity is available. Several types of research studies use UCMs embedded within the NWP models to consider multi-reflections between urban surfaces, such as the coupled WRF/UCM mode at 300 m resolution [141–143]. The creation of numerical micro-scale models depends on the complex interactions between the urban fabric and local weather parameters, of which the widely employed simulation tools are UCMs, ENVI-met, and CFD [144]. The UCMs can predict the air temperature and surface temperature of buildings, roads, and pavements [145]. Although the calculation speed of UCM is fast, as it approximates the building with limited nodes, the main limitation of the model is the exclusion of the velocity field [71]. However, considering that wind velocities inside urban canyons can change the heat transfer in the local environment, it has a great impact on the UHI effect [71]. ENVI-met is a microclimate simulation tool that is used to assess microclimate to local climate scale environments, and it usually uses simplified numerical models with relatively low spatial resolution [146,147]. CFD simulations can be employed to study urban microclimate at different spatial scales, ranging from the microscale to the building scale and even the indoor environment [140,148]. In addition, combined with the solar radiation model, CFD can guarantee accuracy and efficiency in simulating temperature and relative humidity [130]. BEM is developed by the energy balance, which is mainly limited to individual buildings or isolated building envelope [27]. BEM models are usually integrated with other scale models to study the effect of UHI on building energy performance, for example. The town energy balance model (TEB) combines BEM models to predict the energy consumption of buildings and thermal conditions in urban canyons [27,60]. In addition, several studies have investigated the possibility of coupling CFD and BEM models [149,150].

**Table 2.** Overviews of different numerical simulation methods to study UHI.

Tools or Model	Scales ★	Type of Study UHI	Characteristics Limitations		References	
NWP models (MM5, WRF etc.)	Mesoscale	BLUHI	Large area coverage. Works for mesoscale phenomena.	Coarse resolution.	Wong et al. [151]; Touchaei et al. [152]; Souza et al. [153]; Liu et al. [142]; Morini et al. [141]; Takebayashi et al. [154]; Li et al. [77]	
UCMs (such as TEB, BRAMS model)	Microscale	CLUHI	Reproduce the SEB, canyon air temperature and surface temperature.	Over-simplify the models of fluid flow and convective heat transfer. Weak in detail presentation of airflow around the buildings.	Mirzaei et al. [27]; Zeng and Gao [60]	
ENVI-met	Microscale	CLUHI, SUHI	Have the ability to calculate the microclimatic dynamics of complex urban structures and calculate flow around and between buildings and heat exchange processes between the various surfaces.	The simplification of building facades to a single, averaged heat transfer coefficient. The lack of horizontal soil transfer within the model that potentially affects accurate calculations of soil heat storage. The applied turbulence models tending to overestimate the turbulent production in high acceleration area.	Taleb et al. [155]; Taleghani et al. [156]; Noro et al. [157]; O'Malley et al. [158]; Peron et al. [159]; Lin et al. [160]; Wang et al. [161]; Lu et al. [162]; Herath et al. [163]; Berardi et al. [164]	
CFD (ANSYS Fluent/CFX, OpenFOAM, PHOENICS etc.)	Microscale, Building scale, Indoor environment	CLUHI, SUHI	Can explicitly resolve complicated urban land-atmosphere interactions. High spatial flow-field resolution can be obtained in regions of interest.	Simplifications to the urban geometry have to be made. Limited domain sizes due to the extensive computational cost. The accuracy of simulation results relies heavily on the boundary and initial condition settings.	Wong et al. [151]; Mirzaei et al. [27]; Heidarinejad et al. [165]; Allegrini et al. [149]; Yang et al. [166]	
BEM (EnergyPlus, DOE2, eQUEST, ESP-r, TRNSYS, IESVirtual Environment)	Building scale, Indoor environment	SUHI	Widely employed in individual buildings or isolated building envelopes.	Models are simplistic in representing the mutual impact on a building with its surrounding area.	Kolokotsa et al. [167]; Rajagopalan et al. [168]; Martin et al. [169]; Mirzaei et al. [27]	
Statistical models (ANN model, Regression methods)	Microscale, Building scale	CLUHI	Widely implemented to correlate the complex and large-scale characteristic of a city to the UHI.	Validated for a particular location and inapplicable to other regions. Results depend on available data due to the data-driven process.	Gobakis et al. [170]; Mirzaei et al. [27]; Lee et al. [171]; Parsaee et al. [172]	
Urban porous media model	Microscale	CLUHI	Represent the macroscopic dynamic and thermodynamic effects of the building cluster on the urban airflow.	Incapable of demonstrating the detailed microscopic characteristics of the flow and temperature fields around individual building.	Hu et al. [173]	
MITRAS	Mesoscale, Microscale	CLUHI	In addition to wind, temperature, humidity and tracer concentrations also equations for cloud- and rainwater and for chemical reactions are solved. Can be coupled with mesoscale models to account for the large-scale phenomena in microclimate simulations.	Have a rather low typical spatial resolution of a few meters.	Schlünzen et al. [174]; Allegrini et al. [148]	

<sup>★</sup> Scales: mesoscale (<200 km), microscale (<2 km), building scale (<100 m) and indoor environment (<10 m) [140].

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The complexity of the UHI phenomenon and the bulk of urban details increase the cost and computational time of the modeling approaches, which give the statistical methods the advantages of being simpler and faster in the prediction studies [170,171,175]. It is noticeable, however, that artificial neural network (ANN) prediction studies require vast amounts of data to train the neural networks and predict the phenomenon [175].

Compared to other methods of the UHI research, the advantage of simulation studies is the ability to provide comparative analyses based on different scenarios and can provide results for any relevant variable in the whole study domain [71,140]. Considering the scale and complexity of urban environments, the simulation models used in UHI studies must be simplified; for this reason, validation of the simulations is very important [71].

# 4. UHI Status and its Impact on Building Energy Consumption in China

#### 4.1. UHI Status in China

China has a total land area of 9.6 million square kilometers, with a north-south span of about 5500 km and an east-west span of about 5000 km [176]. Given its vast size, there are five main climate types in China, which are characterized by a variety of climate types and a remarkable monsoon climate, including temperate continental climate, temperate monsoon climate, subtropical monsoon climate, tropical monsoon climate, and plateau mountain climate.

As the largest developing country in the world, China's urbanization rate is significantly faster than other developing countries, from 17.92% to 58.52% during the period from 1978 to 2017 and is expected to reach 80% by 2050 [177]. Between 2008 and 2030, China's urban population will increase by 300–450 million [178]. Rapid urbanization in China has brought huge profits to stakeholders and has also brought many problems to the environment, society, and governance [179]. As a result of China's rapid development, the energy consumption of China has also increased dramatically. According to statistics, building energy consumption currently accounts for 27.5% of China's total energy consumption and is expected to account for about 40% in the next 20 years [180].

UHI, as an indication of urbanization's influence on urban thermal environment, is gradually increasing in China. The extent of the areas affected by UHI is largely based on the process of urban sprawl. A summary of studies on UHI in China is given in Table 3. On the whole, the UHII varies across cities in China due to the different development levels and climatic conditions, and the UHII in the same city also varies due to the different calculation methods or measuring time. Hu et al. [64] found that the average annual SUHI is higher than CUHI by comparing the UHI variation of the three cities in eastern China (Beijing, Shanghai, and Guangzhou). Yao et al. [113] studies the temporal trends of SUHI in 31 major cities in China, found that the SUHII of southern cities on days of summer and winter is higher than northern cities, while the opposite occurred in nights of summer and winter, which was consistent with the results of Zhou et al. [116]. In addition, the values of the daytime SUHII and the nighttime SUHII for a city also depend largely on the geographical location and research cycle [116]. Whether the daytime SUHII is higher or lower than the nighttime SUHII for a city also depends largely on the geographical location and research cycle [116].

Table 3. A summary of studies on UHI in China.

City	UHI Type	Considered	Approach *	UHII (°C)					
		Different Altitude		Minimum	Maximum	Mean	Day Time	Night Time	Reference
Beijing –	CLUHI	Yes	MDM		8				Cui et al. [181]
	SUHI		RSM			$2.33 \pm 0.18$			Hu et al. [64]
	CLUHI	Yes	MDM			$1.45 \pm 0.54$	1.58	3.01	Hu et al. [64]
Chongqing	CLUHI		FMMTM; NSM		2.5				Yao et al. [88]
	CLUHI		FMMTM	-1	3	0–2			Liu et al. [182]
Shenzhen	CLUHI		FMMTM	1.2-1.4	2.5–2.8				Wang et al. [95]
	CLUHI		MDM; FMMTM		3	-1 to +1.5			Liu et al. [94]
	SUHI		RSM			1.3			Cui et al. [183]
Shanghai	SUHI		RSM			$2.23 \pm 0.11$			Hu et al. [64]
	CLUHI	Yes	MDM			$0.93 \pm 0.41$	2.95	1.41	Hu et al. [64]
Hangzhou	BLUHI		NSM		1.6	0.74			Chen et al. [184]
Shenyang	SUHI		RSM		6.69				Yao et al. [113]
Guangzhou —	SUHI		RSM			$2.13 \pm 0.21$			Hu et al. [64]
	CLUHI	Yes	MDM			$0.89 \pm 0.58$	2.6	1.82	Hu et al. [64]
Yangtze River Delta Urban Agglomeration	SUHI		RSM	0.53	0.84		0.98	0.5	Du et al. [129]
China's 31 major cities	SUHI		RSM			4.09			Yao et al. [113]
China's 32 major cities	SUHI		RSM				0.01-1.87	0.35-1.95	Zhou et al. [116]

<sup>\*</sup> Approach: MDM, FMMTM, RSM, and NSM are meteorological data methods, fixed measurements or mobile traverses methods, remote sensing methods and numerical simulation methods, respectively.

## 4.2. Impact of the UHI on Building Energy Consumption in China

Studies have shown that buildings account for about 47% of total primary energy consumption in Switzerland, 42% in Brazil, 40% in the USA, 39% in the UK, 25% in Japan, and 23% in Spain [185]. In China, building energy demands accounted for about 24.1% of the total national energy use in 1996, which reached 27.5% in 2001 and is expected to reach about 35% in 2020 [186]. The UHI effect is considered as one of the important driving factors of building energy consumption by increasing the space cooling demand and reducing the space heating demand [16,187]. As a consequence of the UHI effect, the increased urban temperature directly affects the cooling energy use for buildings by increased energy use and heat dissipation of air conditioners. Li et al. [16] reviewed the existing literature of UHI impacts on building energy consumption and found that UHI increased average cooling energy consumption by 19.0% at the regional and global levels.

The influence of the UHI effect on energy consumption is mainly concentrated in urban areas. Different climate zones have different needs for cooling or heating energy. Compared with rural areas, UHI in urban areas of Beijing increased cooling load by 11% and reduced heating load by 16% [181]. Similarly, the UHI effect has increased the air conditioning demand by about 10% in the urban areas of Hong Kong [188,189]. In addition, the influence of UHI on building energy consumption varies with building types. Studies in Nanjing, China have shown that in the same studied period, the cooling load of the office building increased by 4.0–7.1%, while that of apartment building increased 11.2–25.2% [166]. The influence of UHI on building energy consumption also varies between the urban center and the urban periphery. The results of Zhou et al. [190] show that the heating load indexes of the buildings located downtown are 1.5–5.0% less than those in the suburbs. The average heating energy consumption of the buildings located downtown decreases by 5.04% with the UHII increasing by 1.0 °C.

#### 5. Review of UHI Mitigation Strategies in China

In this section, recent studies in China have been systematically reviewed, reflecting the inventory of possible strategies to mitigate the UHI effect. The main objective of various strategies is to reduce the energy consumption and mitigate the urban environmental temperature.

#### 5.1. Urban Greening

Artificial urban land uses such as buildings and roads covered by impermeable surfaces can contribute to the UHI formation, including reducing evapotranspiration, increasing storage and transfer from sensible heat, and reducing airflow [191]. Urban greening can purify the air, coordinate the temperature, regulate the local climate, and improve the city's ecosystem [192]. A growing number of studies have assessed potential urban greening remedies to mitigate the human health consequences of accelerating urban temperatures [21,33]. These studies provide evidence that urban greening, such as UGS, GR, and VG may play a role in cooling the environment at least locally.

## 5.1.1. Urban Green Spaces

UGS (including urban parks, road, residence and workplace greenspace, etc.) is considered an appropriate method to decrease the ambient air temperature, mitigate air pollution, provide comfort to the nearby occupants, and reduce cooling energy consumption in summer [18,23,193]. UGS can form the UCI effect by effective landscape planning, which has a good effect on alleviating UHI [194]. Tan et al. [192] found that small parks with highly tree planting and green space with lower SVF significantly reduced air temperature and formed UCI within park boundaries. The cooling effects of parks extend some distance beyond their boundaries and this extent is influenced by the character of the area around the park [195,196]. Park cooling could extend almost 1.4 km beyond the park's border in the study of Yan et al. [195]. Chang et al. [196] found that the larger the park size was, the stronger the UCI effect was.

Moreover, some studies have explored the potential benefits of UGS on savings in cooling energy in urban areas. Studies in Hong Kong showed that at least 1900 kWh of energy can be saved every day in summer when UGS coverage is about 30% [93]. Studies in Nanjing indicated that during the daytime hot summer period,  $1.3 \times 10^4$  kWh energy could be saved when considering the cooling benefits of UGS [197]. Zhang et al. [198] found that the cooling effect of UGS in Beijing can save 60% of the net cooling energy consumption, and it has a strong correlation with the regional structure types of the city. The cooling effects of UGS are mainly determined by vegetation species, canopy density, and size and shape of UGS [131]. The mitigation effect of different types of UGS on the UHI effect was investigated by Xiao et al. [199], who found that compared with large UGS, small UGS not only have no cooling and humidifying effect, but also have heat preservation phenomenon in some cases. The urban cool island intensity (UCII) of different types of green lands was ranked as wedge green land > radial green land > punctate green land > banding green land [200].

Vegetation in urban environments can greatly improve microclimates and mitigate the UHI effect by shading and evapotranspiration, among that shade reduces sunlight reaching the ground and transpiration cools the ambient environment, creating UCI around vegetation [198,201]. At present, some studies have concerned the impact of different urban vegetation on the cooling effect. Beni et al. [201] found that trees with dense vegetation on the ground have a higher cooling effect than single trees or grass by field measurement. Tong et al. [202] found by field measurement that in the winter, compared with the obvious cooling effect of trees in summer, the effect was negligible due to the loss of leaf area and evaporation. In addition, increased greening and tree planting in urban areas is recommended to improve outdoor thermal comfort, while avoiding narrow street canyons to mitigate the UHI effect at night [202].

## 5.1.2. Green Roofs

GR, also known as ecological roofs, living roofs, and roof gardens, have s great potential to influence the surrounding urban environment, given that roofs account for nearly 20–25% of the city's surface area [203]. In China, where the majority of buildings in some major cities such as Beijing Shanghai, Chongqing, and Hong Kong, are very densely concentrated, the implementation of GR might have the potential to increase urban greenery coverage, save energy, reduce noise and air pollution [204–206]. Although GR increases initial investment compared to traditional roofs, they mitigate the UHI effect in urban areas, considering that green vegetation can significantly alter albedo values and reduce heat transfer to buildings [207,208]. A sensitivity test by He et al. [209] indicates that better thermal performance can be achieved in both the summer and winter by increasing the thickness of substrates or adopting GR on non-insulated buildings. Using three case studies, Tam et al. [210] found that GR can reduce indoor temperatures on the top floor by up to 3.4 °C. He et al. [209] found that the cooling effect of GR is the strongest in summer and weakest in winter for the Shanghai area.

The impact of GR on UHI depends on solar reflectivity, plant transpiration, plant density foliage, etc. [211]. Cao et al. [212] found that the plants with higher transpiration rates had a better cooling effect. In addition, GR is classified into intensive green roofs (IGR) and extensive green roofs (EGR) based on the depth of substrate [205,207,213]. Substrate depth of IGR is normally greater than 20 cm and can support diverse plant communities dominated by trees or other woody species, while EGR generally has a relatively shallow and light-weighted soil layer than IGR. A study in Hong Kong showed that the installation of EGR and IGR with 60% green coverage in the community can reduce the air temperature nearby by about  $0.65~{}^{\circ}\text{C}$  and  $1.45~{}^{\circ}\text{C}$ , and the saving energy annually was estimated to be  $3.4 \times 10^7~{}^{\circ}\text{kWh}$  and  $7.6 \times 10^7~{}^{\circ}\text{kWh}$ , respectively [213]. Nonetheless, considering the demand for heavy loading, regular maintenance, and benefit–cost ratio, EGR is more attractive compared to IGR [205,207]. Peng et al. [213] found that more complex IGR did not necessarily provide a better cooling effect than EGR.

Recent studies of GR in China have identified the advantages of GR in energy saving and improving the quality of the thermal environment. Kokogiannakis et al. [208] studied the thermal performance of GR across eight large Chinese cities, and their results showed that all types of IGR can reduce heat and cold loads compared to traditional non-insulated roofing. A study applying GR in Beijing found that the maximum indoor temperature decreases by about 7.0 °C in the cooling season, and the minimum indoor temperature increases by about 6.0 °C in the heating season [204]. Gao et al. [214] found that the use of GR can reduce the air-conditioned room's energy consumption by 0.106 kWh/m² in Chongqing and the average power saving rate was 25.0%. The research performed by Tang et al. [215] in Shanghai showed a 14.7% reduction in cooling energy consumption through the use of GR. Li et al. [216] found that buildings with GR and VG in Ningbo had an 8.8% reduction in cooling load and a 1.85% reduction in heating load.

In addition, the leaf area index (LAI) is a significant factor in the cooling effect [217,218]. Zeng et al. [218] found that in cooling-dominated cities (Chongqing and Guangzhou), GR can better improve indoor comfort than the cool roof, and the increase of LAI can lead to the reduction of annual energy consumption and cooling energy consumption. In addition, the combination of GR and night ventilation is widely used in summer cooling as passive energy-saving technologies. Jiang et al. [219] discovered that the combination of GR and night ventilation can reduce cooling energy consumption by up to 24.6% in June, especially in Beijing. Ran et al. [220] found that the cooling effect of the combination of GR and night ventilation becomes more obvious in the way of the improvement of the external wall insulation of buildings.

## 5.1.3. Vertical Greenery

Recently, VG, also known as 'vertical greening system' (VGS), 'vertical garden', 'green wall', and 'bio wall', has become increasingly popular in urban greening because of its small size, high aesthetic value, and UHI mitigation capability [221–225]. These effects can further result in psychological wellbeing and acoustic protections, protection of building envelope, and provision of biodiversity [222,226]. Based on their construction characteristics, green facades and living walls are the most extensively used classification for VGS [222,223,227,228]. Green facades can be divided into three different systems: traditional green facade (direct green facade, DGF), double-skin green facade (DSGF), and Living walls system (LWS) [229,230]. LWS can be classified into two groups: continuous living walls system and modular living walls system [222,229,230].

The VG of the building envelope can reduce the wall temperature to save energy through intercepting solar radiation, heat insulation provided by vegetation and matrix, evapotranspiration cooling, and acting as a wind screen [226,231]. Several researchers studied the impacts of VGS on urban temperature reduction. Yin et al. [227] found that DGF can significantly reduce the surface temperature of the building facade by up to 4.67 °C, and the cooling effect of DGF is the most obvious at noon. Zhang et al. [232] found that VGF could reduce the operating temperature by 3.6 °C due to plant shading and transpiration cooling in a subtropical city (Guangzhou, Guangdong province). Research conducted in Hong Kong by Dahanayake et al. [233] showed that VGS can reduce the exterior wall surface temperature up to 26 °C on hot summer days, while VGS may however increase the heating demand of the building depending on the outdoor conditions and solar radiation in winter. Cheng et al. [221] found that the building envelope with a green facade reduced air-conditioning energy consumption, and the cooling effect was closely related to the plant coverage area and the moisture in the growing medium. Pan et al. [231] found that VGS installed on the wall can save 16% of the total power consumption. A study of applying DSGF to high-rise residential buildings showed that VGS can reduce  $2651 \times 10^6$  kWh of electricity and  $2200 \times 10^6$  kg of carbon dioxide emission per year (Wong and Baldwin, 2016). Chen et al. [234] performed three series of LWS experiments and discovered that LWS has a significant cooling effect on wall surface temperature and the indoor temperature and increases the radiation heat transfer between the wall and LWS or decreases the convection

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heat transfer between the wall and air layer can improve the cooling effect of LWS. In addition, He et al. [235] found that compared with ordinary walls, LWS has better thermal insulation performance and the ability to cool local air.

Different plants have different characteristics including species, LAI, intensity, foliage thickness, etc., among which LAI and foliage thickness are considered to be the most important factors affecting the thermal performance of VGS. Dahanayake et al. [228] found that VGS could reduce the maximum external wall surface temperature by 12 °C when LAI increased from 1 to 5. By comparison of foliage thickness, Li et al. [236] found that plant leave with thickness of 19.8 cm have stronger convection heat transfer. Besides, the maximum surface temperature of the wall with VGS decreases by 6.3 °C compared with a bare wall.

Orientation, climate, and weather contribute significantly to the thermal performance of VGS. Pan et al. [237] found that the air temperature of the test room with VGS is 3.6 °C lower than that without VGS, and the VGS in the west-facing facade showed the best cooling performance, which gives the greatest wall temperature reduction (6.1 °C). Yang et al. [238] investigated the cooling effect of DSGF in Shanghai, the results showed that the cooling effect of the south-facing facade VGS is better, and the indoor air temperature drops by 1.2 °C on average. By analyzing DSGF and the original exposed masonry facades, it was discovered that the maximum air temperature drop in a daily circle is 5.5 °C. Furthermore, Xing et al. [239] found that in winter, DSGF can increase the indoor air temperature 1.0–3.0 °C at night and can achieve an 18.0% saving rate of heating energy consumption.

## 5.2. Urban Morphology

Urban planning determines urban morphology and affects urban climate, on the contrary, the urban climate can be adjusted and improved by means of urban planning to meet the needs of residents [240]. Urban planning and urban design have realistic environmental significance to mitigate the UHI effect of certain urban areas by manipulating or optimizing the urban morphology [241,242]. Urban size, urban geometric form, and vegetation coverage are the most basic urban morphology factors that affect the urban thermal environment [243].

Recent studies analyzed the impact of urban morphology parameters on the UHI effect in China. Hu et al. [242] found that the density of an urban area is the decisive factor influencing the UHII, the higher the density of the urban area, the higher the UHII. In addition, it was pointed out that contrary to the discrete morphology, the compact morphology with a larger average SVF value in the whole area may be more conducive to the reduction of the UHI effect. Wei et al. [244] investigated the impact of urban morphology parameters (such as SVF, floor area ratio, site coverage ratio, and building stories) on urban microclimate, and discovered that building site coverage of 25% is the best choice to mitigate the summer night UHI effect. Lin et al. [245] reported a higher floor area ratio, building density, and tree cover ratio may help to reduce daytime UHII in high-rise high-density urban environments. Tong et al. [246] found that the location close to tall buildings is more easily sheltered, and SVF is usually lower, which helps cool the environment during the day. In contrast, at night, air temperatures tend to accumulate in narrow street canyons with high-rise buildings and low SVF, which helps exacerbate the UHI effect. The research results of Zhou et al. [190] showed that building density has the greatest influence on UHII, followed by volume ratio and greenness rate. Similarly, Xu et al. [247] confirm that the green cover ratio can explain approximately 50% of the variability of UHII. Yue et al. [135] found that UHI was significantly correlated with urban configurations in two aspects. First, if the total building area remains constant, the smaller the building area is, the more dispersed it is, and the smaller the UHI is. Secondly, UHII can also be alleviated by a single patch of more complex shape.

The temperature varies greatly in the urban canopy and is very sensitive to the urban form. Tong et al. [246] found that increasing the number of buildings and narrowing

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the pavement width could reduce daily maximum and daytime average temperature and increase daily average, daily minimum, and the nighttime average temperature in summer. In winter, daily average, daily minimum, and nighttime average temperatures are affected by urban morphology parameters. Specifically, they increase with the increase of buildings and the narrowing of street width. In addition, increasing the green plot ratio contributes to a daily minimum and nighttime average temperature reduction. Guo et al. [134] found that the urban form with medium height and low-density buildings is more able to cause LST changes compared with high-rise and high-density building arrays. Furthermore, building density has a greater impact on LST compared to building height. The combination of medium SVF values with relatively large vegetation area helps to produce a cooler environment, while the largest and smallest SVF values produce the highest LST. Huang et al. [248] found that the composition and configuration of the building are closely related to LST, the scattered distribution helps to mitigate LST, and the highest LST usually appears in commercial and industrial zones, which is consistent with the research results of Yue et al. [135]. In addition, Yin et al. [73] also confirmed that urban form factors have a significant impact on LST, and the analysis of the relationship between urban form and UHI effect based on regulatory program management unit is helpful to promote corresponding mitigation measures in practice.

#### 5.3. Cool Material

It is well known that the UHI effect is highly related to urban geometry and urban materials. Once the urban form is established, it is difficult to change the permanence [249]. In contrast, the use of light-colored, low-thermal materials can be applied in urban areas to mitigate the overheating effect of UHI on urban microclimates [249].

### 5.3.1. Cool Roof and Cool Coatings on Building Surfaces

Cool roofs are defined as a roof with high solar reflectance and high thermal emissivity [250]. Gao et al. [251] found that roofs with white coating in Chongqing and Guangdong province can reduce their surface temperatures by about 20 °C and 17 °C respectively. Consequently, replacing grey roofs (reflectivity 0.2) with white ones (reflectivity 0.6) can save energy costs in hot summer cities, such as Chongqing, Shanghai, Wuhan, and Guangzhou. Compared to traditional materials, Han et al. [252] found that cool coatings applied to the roof can achieve an indoor temperature difference of more than 4.5 °C. Lu et al. [253] introduced a novel cool roof coupled with phase change materials and cool materials, and it has been proven that the novel cool roof has a good effect on thermal insulation and decreases the peaks of temperature, as confirmed through field testing. In addition, as an important measure to alleviate the UHI effect, cool roofs are recommended in China's relevant green building evaluation standards [250].

The solar irradiance incident on the traditional diffuse reflective surface is reflected in the urban canyon many times, which increases the absorption of the sun by the urban buildings and exacerbates the UHII effect [254,255]. The application of retro-reflective materials on architectural coatings or blocks has the potential to reduce heat intake from urban surfaces and mitigate the UHI effect. Qin et al. [254] found that the retro-reflective materials have better performance than diffuse ones in building blocks. Meng et al. [256] found that the peak temperature of the indoor air and inner wall surfaces were decreased up to 8  $^{\circ}$ C and 10  $^{\circ}$ C, respectively, due to the covering of retro-reflective materials, and the peak temperature of the outer wall surface is reduced up to 25  $^{\circ}$ C.

## 5.3.2. Cool Pavement

Pavement surface has changed the original thermal properties of the natural ground surface, and as the surface temperature of the road increases, the air temperature near the road increases, causing the UHI effect [257,258]. It is important to reduce the road temperature by using cold pavement instead of traditional pavement to reduce the UHI effect [14]. The existing cooling methods mainly include increasing the solar reflectance,

enhancing evaporation, reducing the sensible heat transfer of the urban atmosphere, or modifying the pavements [259,260]. Terms associated with cold pavements are reflective pavements, evaporative pavements, and heat-harnessing pavements [259]. Albedo is an important index to the radiation reflectivity of pavement surface, which is negatively correlated with the pavement surface temperature [14,261]. However, the use of reflective pavements to mitigate UHI on streets surrounded by tall buildings is ineffective compared to open streets [257]. Furthermore, Qin et al. [262] recommended that reflective pavements be used when the aspect ratio of the urban canyon is less than 1.0. Jiang et al. [258] designed a solar reflective coating for cooling asphalt pavements, and experimental results showed that the surface temperature reduction is about 8.5 °C to 9.5 °C. Chen et al. [263] found that the surface peak temperature of highly conductive concrete pavement can be 13 °C lower than that of conventional concrete pavement.

As another potential type of cool pavement, the permeable pavement has many environmental benefits beyond the traditional impermeable pavement. The evaporation rate is an important factor that affects the evaporative cooling effect of permeable pavement. Liu et al. [260] found that evaporation-enhanced permeable pavement has a great contribution to UHI mitigation, with a maximum cooling of 9.4 °C compared with traditional permeable pavement.

In addition, cool pavement can reduce the heat released by the road and improve the comfort of pedestrians and nearby residents by reducing the heat storage capacity. Du et al. [264] found that the strategy of cooling asphalt pavement by increasing solar absorption of asphalt pavement and accelerating heat release to the base layer can reduce the surface and internal temperatures by 3.5 °C and 6.4 °C, respectively. Jiang et al. [265] designed a road thermo-electric generator system, which can convert or transfer the pavement heat, lowering the surface temperature by 8–9 °C during the summer.

#### 5.4. Water Bodies

Water bodies are one of the main components of the urban areas, with their high thermal capacity and low thermal conductivity, which can effectively mitigate the UHI effect [51,123,266]. The cooling effect of urban water bodies, which is considered an important ecosystem regulation service, has many potential advantages, such as reducing energy consumption, improving the thermal comfort of pedestrians in the outdoor environment, and creating UCI [51,267,268].

Urban water bodies mainly include rivers, lakes, and reservoirs, forming a large part of the UCI [269]. Yang et al. [266] pointed out that rivers and lakes in Bozhou, China, are the main source of urban cooling in the summer. Wang et al. [270] found that wetland has a good regulation effect on temperature and the closer to the urban wetland, the more significant the temperature regulation. Xue et al. [271] pointed out that the average normalized cooling capability index (NCCI) of wetlands in Changchun city is 42.3 times that of green land, and the average NCCI value of wetlands connected to other surface waters was 6 times higher than that of isolated wetlands. Among them, the cooling effect of the river is obviously higher than that of other wetland types. Du et al. [194] found that urban rivers change the flow of air around them, and the UHII nearby the river is lower. By studying the influence of artificial ponds on the urban thermal environment using experiment methods, Syafii et al. [268] found that the thermal environment with a pond is better than that without a pond, especially during the daytime, and ponds configured with larger surface areas showed greater cooling effects. Xu et al. [272] concluded that the cooling effect was more significant near the center of the water body, with the maximum value exceeding 0.8 °C. Furthermore, Du et al. [200] found that the UCI of faceted water bodies is stronger than linear ones.

In Shanghai, research was carried out to resolve the following problems: how strong the water-cooling island (WCI) effect is and how much temperature reduction is [123]. It is found that water bodies can reduce the ambient temperature by 3.32 °C. Moreover, geometry, the proportion of green area, and impervious surface are key factors for the

WCI effect. For a fixed area of the water body, the simple geometry, the large share of vegetation, and the small proportion of impervious surfaces help to achieve good WCI effects [123]. Sun et al. [273] conducted a quantitative study on UCII of 197 water bodies in Beijing and found that the mean UCII of the water body is 0.54 °C/100m. Furthermore, it is suggested that the area, shape, and location of water bodies are important indicators of UCII in urban areas which should be considered in quantifying microclimate regulation services and mitigating UHI effects [273,274]. Cai et al. [275] found that the cooling effect of water bodies can reach 1 km. Wu et al. [276] investigated the temperature fields around the reservoirs and the influencing factors in the Pearl River Delta area. The results indicated that the distance, type of underlying surface, and capacity have significant effects on the temperature field around the reservoirs. The temperature and distance between 0–100 m and 0–200 m from the boundary of the reservoirs show a linear relationship, and as the temperature increases, the influence of the reservoirs on the temperature gradually weakens when the distance exceeds 200 m.

#### 5.5. Urban Ventilation

Urban ventilation takes the advantage of wind characteristics to bring fresh air from the suburbs into the city, which has been reported to be one of the main mitigation strategies to alleviate the UHI effect [277,278]. In addition to heat removal, urban ventilation is also important for improving the quality of the living environment, eliminating air pollution, and saving energy [278,279]. For example, in a new district of Shenzhen, the actual urban development did not use the original ventilation scheme, which leads to the blocking of wind channels and intensifies the UHI effect [280]. Given that the increase of near-surface aerosol pollution in Chinese cities and the frequent occurrence of haze during wintertime of China, and the UHI effect are related to the poor ventilation in cities, urban ventilation planning is very necessary [278,281].

Xu et al. [282] analyzed the spatial distribution of UHI and cool source based on the distribution map of the daily average temperature of typical meteorological conditions and put forward the proposal of planning urban ventilation channels to alleviate UHI. Furthermore, the location, quantity, and planning control requirements of urban ventilation corridors in the new urban districts are proposed by Su et al. [278]. Luo et al. [280] put forward suggestions on optimization urban ventilation from the aspects of road orientation, building layout, green space layout, and open recreation space by a case study in Lipu County of Guangxi. Based on the urban sprawl pattern of Dalian city with high density, which leads to the annually decreasing trend of wind speed, Guo et al. [283] evaluated the natural ventilation performance of different building forms by the CFD simulation tool. The results showed that urban enclosures, such as the row of strip apartments and highrise buildings with large platforms, are not conducive to natural ventilation, while valid planning and measures like open space, ventilation channel, increasing the building height appropriately, reduction of low-rise large platform, adoption of streamlined building shape and reduction of building facade area have significant effects on promoting ventilation and alleviating UHI effect. In addition, non-uniform building height has worse ventilation performance than homogeneous building in the case of low density, while in the case of high density the ventilation performance is opposite [284].

Qiao et al. [285] suggested that urban design based on urban form and building height, considering wind direction and wind frequency, is effective for optimizing ventilation and regulating the urban environment. Du et al. [194] found that wind speed near rivers is higher than that in urban centers where buildings are dense and suggested that it should be fully considered that how to guide the development of wind direction from rivers to interior areas, and how to expand the influence area of rivers, so as to optimize the urban thermal environment. Combined with the national technical guide "Specifications for climatic feasibility demonstration-Urban Ventilation Corridor", Ren et al. [281] proposed the ventilation corridor planning in Chinese cities.

#### 6. Discussion

The impact of UHI on building energy demands has been studied in many large cities in China. Generally speaking, the UHI effect will increase the annual energy consumption of buildings in the areas dominated by air-conditioning. On the contrary, the UHI effect reduces the annual energy consumption of buildings in the areas dominated by heating. In addition, the extent to which UHI affects building energy demands depends on the local microclimate and urban features of the area, where the building is located, while for buildings in the same area, the energy consumption is also affected by the type and characteristics of the building, refrigeration/heating equipment, etc. However, although the importance of the impact of UHI on building energy consumption is widely recognized, it may be inaccurate to estimate the energy consumption of buildings located in the city center without considering their close relationship to other surrounding buildings or using meteorological data from suburban or rural weather stations. Enhanced government supervision strategies, which have shown a huge advantage in promoting building energy efficiency and mitigating UHI effects in Ningbo, are applicable to other cities of China [182].

UGS can improve the microclimate and alleviates the UHI phenomenon by shading and evapotranspiration, while the loss of urban vegetation cover and the reduced density of green in the urban environment is an important factor causing the phenomenon of UHI. Many studies have shown that UGS creates a UCI that is larger than its own boundaries during the daytime of summer. Considering the warming effect of trees inside UGS at night, it is recommended that less than 50% of the paving area and at least 30% of UGS should be designed in open spaces for commercial areas, while more trees are suggested to be designed in open spaces at residential area [196]. In addition, increasing undergrowth vegetation coverage and strengthening grassland irrigation management can bring into play the cooling effect of UGS. The cooling effect of UGS also depends largely on plant type, canopy density, and its own shape and area, etc. However, the Chinese public and urban managers often underestimate the cooling effect of UGS due to a lack of this information. Despite all this, a series of policies have been formulated in China to maintain and indeed introduce more UGS into cities, such as "Regulations of China on Urban Greening" and "Regulations on the greening of provinces and municipalities in China", and policymakers have developed measures to identify and understand UGS dynamics [286].

Many studies have proven that GR has a significant cooling effect on non-insulated buildings and is an effective way to increase the greenery coverage and reduce the UHI effect, especially in built-up urban areas. However, GR has not been widely adopted in some high-density cities in China (Beijing, Hong Kong, etc.) as some urban residents are reluctant to invest in GR and the government lacks incentives. It is therefore important for decision-makers to play a leading role in promoting GR related codes, policies, and incentives, such as in Shanghai, where the government has stipulated that more than 30% of the available roof area of new public buildings should be covered by GR since 2015 [217]. In addition, the indoor temperature of GR is higher than that for traditional roofs at night, the combination of GR with night-time ventilation can effectively reduce the indoor temperature. Currently, most studies in China have focused on the LAI and the types and heat transfer characteristics of GR, but no consensus has been reached on specific characteristics of GR, such as the quantity and spatial structure of green cover and types of soil or plant, etc. [204,240].

VGS may have a greater impact on the built environment than GR, given that the surface area of building walls is always greater than the area of the roof, especially the high wall to roof ratio for high-rise buildings can offer larger areas for planting. However, studies have shown that greening of the facade surface area by 30–50% only reduces the air temperature by about 1.0 °C in the high-density urban environment [287]. It is also important to note that VGS may increase the building's heating needs during winter. In addition, VGS is controversial in terms of its cost and the complexity of its implementation, and a more systematic understanding of its practical application in future research is needed.

Urban morphology can change urban energy balance, thus affecting the urban microclimate. The denser and more complex the urban area, the greater the UHII is. Therefore, large cities could have a stronger UHI effect than smaller cities. Specifically, urban morphology indexes such as building density, SVF, and floor area ratio are closely related to the UHI effect. Building density has proven to be an important indicator that affects the UHI, and reasonable planning of building density can increase airflow and heat dissipation in cities. Considering the relatively high negative correlation between SVF and UHI, SVF values can be used to infer the potential UHI impact of the planning for areas. In addition, some reasonable ranges of urban morphological indicators value are given by Xu et al. [247], such as building footprint ratio  $\leq 23.8\%$ , green cover ratio  $\geq 30\%$ , and green space ratio  $\geq 19.5\%$ . However, there is still a lack of understanding of the relationship between urban morphology indicators and UHI in some respects, such as the existing models failing to consider the interaction and nonlinear relationship between these indicators, or the fact that non-urban climate impacts were not removed when studying the effects of urban morphology on UHI.

Cool roofs are capable of effectively reflecting solar radiation and emitting heat and can therefore reduce the cooling energy use of buildings during the summer. Considering that the performance of the cool roof is mainly affected by the climatic conditions and the construction characteristics, the adoption of cool roofs instead of standard ones in northern Chinese cities may increase the demand for heating energy in winter. Moreover, the application of cool materials on external surfaces and pavement can decrease the ambient temperature around the buildings and reduce the surface temperature of the ground to improve the urban thermal conditions. Given that the variety of climate types in China, cool materials are more suitable for hot climatic cities. Therefore, the potential and cost of using cool materials to mitigate UHI must be carefully weighed in practice.

As natural cold sources, water bodies and ventilation are also important to mitigate the effects of UHI. Water bodies not only have a low surface temperature but also reduce the surrounding environment temperature because of their characteristics of low reflectivity and efficient consumption of short-wave radiation by evaporation. The cooling effect of the water body could be better if it is combined with UGS. It is worth noting that the cooling effect of the water body is influenced by the area, shape, and location of water bodies, landscape shape index, and the proportion of surrounding buildings. Moreover, enhanced ventilation can significantly improve the urban thermal environment and lead to the effective diffusion of pollutants. The water body, UGS, and dispersed cold air, etc., can play a better cooling effect under the connection of air channels. Hsieh et al. [277] suggested that urban planning should be reviewed taking into account the limitations of the leadingedge areas of buildings along the urban cooling route and the wind connections between the park and the river in order to mitigate the UHI effect. In addition, given the lack of communication between meteorological departments and urban planning departments, and the lack of relevant meteorological knowledge and simulation technology among planners and architects, Chinese scholars rarely study the UHI effect from the perspective of urban planning, which is a question worthy of careful consideration by decision-makers and relevant departments.

#### 7. Conclusions

In this paper, we employed a comprehensive and systematic review of the current research status of UHI in China, including research methods, the impacts of UHI on building energy consumption, and mitigation strategies of UHI. The main conclusions are given below:

(1) In these research methods for studying UHI, the meteorological data methods are usually used to study long-term UHI phenomena in cities, whereas the fixed measurements or mobile traverse methods can directly collect the micro-climatic parameters and thus can obtain high-quality historical time series of temperature. Remote sensing methods provide an advantage that can get a large spatial distribution of UHI Sustainability **2021**, 13, 762 20 of 31

considering the characteristics of satellite data with wide coverage and open access. Compared to other methods, numerical simulation methods have the advantage of performing comparative studies for different scenarios. The average annual SUHI is higher than CUHI in China. SUHII in the summer and winter days is higher in southern Chinese cities than that in northern cities, while SUHII in the summer and winter nights has the opposite geographic distribution.

- (2) The formation, development, and evolution of UHI are influenced by many factors, including materials of buildings and open spaces, anthropogenic heat, evaporating surfaces, canyon geometry, and architectural design and layout, etc. Rising temperatures in cities could increase cooling energy consumption and seriously affect human health and well-being. To be specific, the UHI effect exacerbates cooling energy consumption in southern China, where the buildings are dominated by air conditioning, whereas in north China, where the heating in the winter is a major concern, the UHI effect helps reducing buildings energy consumption. The influence of UHI on building energy consumption is related to the local microclimate, the urban characteristics of the area where the building is located, and the type of building.
- The mitigation strategies to UHI in China, such as urban greening (including UGS, green roofs, and vertical greenery), urban morphology indicators, cool materials (including cool pavement, cool roof, and cool coatings on building surfaces), water bodies, and urban ventilation were reviewed and discussed. We found that UGS can form a cold island larger than its own boundary during the daytime of summer, and its cooling effect depends on vegetation types, canopy density, and the size and shape of UGS. Green roofs and vertical greenery have a significant cooling effect on the high-density buildings, and roof greening combined with night ventilation can significantly reduce indoor temperature and heat gain during the cooling period. Compared with cities in northern China, cool materials are more suitable for hot cities. It should be noted that green roofs, vertical greenery, and cool roofs have the potential to increase buildings' heating needs during winter. GR performs better than a cool roof in non-insulated buildings, while vertical greenery of high-rise buildings has a better cooling effect than the green roof. The cooling effect of water bodies is related to the area, shape, and location of water bodies, landscape shape index, and the proportion of the surrounding buildings. The cooling effect is better when the water combined with UGS or water and UGS are connected under ventilation channels. Urban morphology indicators, such as building density, SVF, floor area ratio, etc., have a strong relationship with the UHI effect. Therefore, the rational planning of these indicators hence can increase airflow and heat dissipation in cities.

In order to obtain greater urban environmental benefits, the cost and complexity of using these strategies to mitigate UHI should be carefully weighed in practice, and these mitigation strategies for UHI effect should be considered in the project design stage of urban planning and implemented on a large scale. In addition, it is important that more codes, policies, and incentives should be formulated in China to introduce more mitigation strategies into cities, and policymakers and urban managers should play a leading role in implementing these regulations.

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#### Nomenclature

ANN Artificial neural network BEM Building energy models

BLUHI Boundary layer urban heat island CFD Computational fluid dynamics CLUHI Canopy layer urban heat island

DGF Direct green facade
DSGF Double-skin green facade
EGR Extensive green roof

FMMTM Fixed measurements or mobile traverses methods

GR Green roofs

IGR Intensive green roofISA Impervious surface areaISAR Impervious surface area ratio

LAI Leaf area index

LULC Land use and land cover LST Land surface temperature LWS Living walls system

MDM Meteorological data methods

NCCI Normalized cooling capability index
NDBI Normalized difference building index
NDVI Normalized difference vegetation index

NSM Numerical simulation methods NWP Numerical weather prediction

PCI Park cool island

RSM Remote sensing methods SEB Surface energy balance SUHI Surface urban heat island

SVF Sky view factors
UGS Urban green spaces
UCI Urban cool island

UCII Urban cooling island intensity

UCMs Urban canopy models UHI Urban heat island

UHII Urban heat island intensity

VG Vertical greening VGS Vertical greening system WCI Water cooling island

NDBI Normalized difference building index

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